

UNITED STATES DISTRICT COURT  
DISTRICT OF OREGON  
PORTLAND DIVISION

NICKOLAS ALONZO VEGA,

No. 2:13-cv-00931-HU

Plaintiff,

## FINDINGS AND RECOMMENDATION

V.

MR. BELL, et al.,

## Defendants.

Nickolas Alonzo Vega  
SID No. 18764976  
Eastern Oregon Correctional Institution  
2500 Westgate  
Pendleton, OR 97801-9699

Pro Se Plaintiff

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Attorneys for Defendants

1 HUBEL, Magistrate Judge:

2       On June 4, 2013, Plaintiff Nickolas Alonzo Vega ("Plaintiff"),  
 3 an Oregon prisoner, filed this pro se civil rights action pursuant  
 4 to 42 U.S.C. § 1983 against several officials employed by the  
 5 Oregon Department of Corrections ("ODOC"). His complaint alleged  
 6 a First Amendment retaliation claim, an Eighth Amendment failure-  
 7 to-protect claim, and a Fourteenth Amendment due process claim.<sup>1</sup>  
 8 Over a month later, on July 11, 2013, Judge Michael Mosman issued  
 9 a screening order dismissing Plaintiff's Fourteenth Amendment due  
 10 process claim and seven of the sixteen originally named defendants,  
 11 pursuant to 28 U.S.C. §§ 1915A and 1915(e)(2). (Order Proceed IFP  
 12 & Dismiss at 5-6.)

13       The defendants that survived Judge Mosman's screening order  
 14 are former Eastern Oregon Correctional Institution ("EOCI")  
 15 Superintendent Rick Coursey, EOCI Counselor Bell, EOCI Lieutenant  
 16 Hogeland, "Dr. Kelly," EOCI Assistant Superintendent Ronald Miles,  
 17 EOCI Corrections Officer Muth, EOCI Sergeant Patterson, EOCI  
 18 Grievance Coordinator Nina Sobotta, and EOCI Lieutenant Walker  
 19 (collectively, "Defendants").<sup>2</sup> On January 10, 2014, Defendants  
 20 filed an unenumerated Federal Rule of Civil Procedure ("Rule")  
 21 12(b) motion to dismiss Plaintiff's complaint for failure to  
 22

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23       <sup>1</sup> Plaintiff's First Amendment retaliation claim and Eight  
 24 Amendment failure-to-protect claim were pled as a single cause of  
 25 action. Because they are in fact distinction causes of action, see  
*Wood v. Beauclair*, 692 F.3d 1041, 1051 (9th Cir. 2012); *Ross v. Nooth*, No. 3:09-cv-01530-HU, 2013 WL 2156534, at \*5-8 (D. Or. May 16, 2013), the Court will treat them as such throughout this Findings and Recommendation.

27       <sup>2</sup> The Court will refer to the individual defendants by their  
 28 last names.

1 exhaust administrative remedies. After the motion was fully  
2 briefed and before the Court issued its Findings and  
3 Recommendation, the Ninth Circuit overruled *Wyatt v. Terhune*, 315  
4 F.3d 1108, 1119 (9th Cir. 2003), in which they held that a failure  
5 to exhaust under the Prison Litigation Reform Act ("PLRA") should  
6 be raised by a defendant as an unenumerated Rule 12(b) motion. See  
7 *Albino v. Baca*, 747 F.3d 1162, 1166-68 (9th Cir. 2014) (so stating,  
8 and indicating that the appropriate procedural device is a motion  
9 for summary judgment under Rule 56).

10 In light of the Ninth Circuit's decision in *Albino*, and  
11 because the parties relied on certain materials outside of the  
12 pleadings, the Court elected to construe Defendants' unenumerated  
13 Rule 12(b) motion to dismiss as a motion for summary judgment  
14 brought pursuant to Rule 56. Thus, on April 11, 2014, eight days  
15 after the *Albino* decision was issued, the Clerk of Court mailed  
16 Plaintiff a summary judgment advice order as well as the Court's  
17 formal scheduling order indicating why the motion was construed as  
18 a motion for summary judgment, and that Plaintiff had until May 16,  
19 2014 to re-file his opposition brief. Plaintiff did so on April  
20 28, 2014, and Defendants' motion for summary judgment was taken  
21 under advisement on May 30, 2014.<sup>3</sup>

22 As explained in greater detail below, the Court now concludes  
23 that Plaintiff has failed to properly exhaust his administrative  
24

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25       <sup>3</sup> Plaintiff filed a declaration in support of his April 28,  
26 2014 opposition brief, but he failed to attach the exhibits that  
27 are referred to therein. It appears that Plaintiff is referring to  
28 the exhibits that were attached to the declaration he filed on  
February 10, 2014, in support of his original opposition brief.  
Because the Court assumes Plaintiff intended to incorporate these  
exhibits by reference, it will consider them here.

1 remedies with respect to his First Amendment retaliation claim and  
 2 Eighth Amendment failure-to-protect claim. Accordingly, the Court  
 3 recommends granting Defendants' motion (Docket No. 25), now  
 4 construed as a motion for summary judgment, and dismissing  
 5 Plaintiff's complaint with prejudice.

6 **I. BACKGROUND**

7 Plaintiff was admitted into the custody of the ODOC on July  
 8 26, 2011, and he was housed at EOCl at all times relevant to this  
 9 case. (Sobotta Decl. ¶ 3.) On February 19, 2013, Plaintiff  
 10 completed and filed a grievance form addressed to Walker stating:

11 After being moved to [housing unit] F3 I became aware of  
 12 a threat to my personal safety from others housed on  
 13 [unit] F3. I spoke with Alice DeJongh, Mr. Bell, Ms.  
 14 Terry, Dr. Kelly, L[ieutenant] Ho[ge]lland and  
 15 L[ieutenant] Walker about the threat, mostly within the  
 16 past month. I requested to be moved off of [unit] F3, and  
 17 I wasn't. On [February 12, 2013,] I was attacked on the  
 18 unit by the person who had threatened me. I went to  
 19 medical and was told that my nose had been broken. I  
 20 stayed in the infirmary for a few days and went to the  
 21 hospital. After that, I was placed on [unit] F2.

22 . . . .

23 I would like to remain on [unit] F2 for the time being.  
 24 I would like the staff members who refused to help me to  
 25 be held accountable. And I would also like to be  
 26 compensated for my injuries.

27 (Vega Decl. [Docket No. 32] Ex. 1 at 1; Sobotta Decl. ¶ 12, Attach.

28 4.) About a month later, on March 14, 2013, Walker sent a  
 29 grievance response form to Plaintiff, which stated:

30 Mr. Vega I have reviewed [the] grievance you submitted on  
 31 [February 19, 2013] . . . where you claim your personal  
 32 safety was threatened from other inmates housed on F3  
 33 housing unit. Furthermore you said, 'That you had spoke  
 34 to me about this threat, mostly within the past month.'  
 35 In response[,] you were moved from [unit E2] to [unit F3]  
 36 on [October 24, 2012] per doctor orders that you needed  
 37 a bottom bunk. Due to bunk availability and compatibility  
 38 you were assigned to [unit F3]. I have not spoken to you

1       in the past month as you stated; however, I did talk to  
 2       the housing unit officer at your request that he call me  
 3       on [October 24, 2012,] the day you moved to [unit] F3 and  
 4       related the reason why you were moved.

5                     (Vega Decl. Ex. 2 at 2; Sobotta Decl. ¶ 13, Attach. 5.)

6       Ten days later, on March 24, 2013, Plaintiff completed and  
 7       filed a grievance appeal form addressed to Assistant Superintendent  
 8       of Security Lemens, wherein he stated:

9       Whatever the reason I was moved to [unit] F3, my  
 10      grievance concerns the unilateral assault that occurred  
 11      about three months after I was moved to [unit] F3. As  
 12      stated in the original grievance, the issue is that after  
 13      repeatedly alerting various staff to the threat to my  
 14      safety on [unit] F3, I was unilaterally assaulted. The  
 15      threat was ignored, and as a result, I suffered a serious  
 16      injury—a broken nose (and loss of expensive property).  
 17      L[ieutenant] Walker's response does not address this  
 18      issue, which is the basis of my grievance.

19                     (Vega Decl. Ex. 3 at 1; Sobotta Decl. ¶ 14, Attach. 6.) Although  
 20      Plaintiff's grievance appeal form was "accepted" initially on April  
 21      10, 2013, Sobotta ultimately denied the request or "changed the  
 22      status" upon receiving notice that Plaintiff had filed a tort claim  
 23      notice with the Oregon Department of Administrative Services  
 24      ("DAS").<sup>4</sup> (Sobotta Decl. ¶¶ 15-16.) Sobotta did so because OAR  
 25      291-109-0140(3)(h) precludes an inmate from grieveing a claim or

26                     <sup>4</sup> Oregon Administrative Rule ("OAR") 291-109-0170(1) states:  
 27      "After the [grievance] appeal [form] has been date stamped and  
 28      logged, the inmate will be issued a return receipt, and the  
 29      grievance appeal will be forwarded to the functional unit manager  
 30      having authority to review and resolve the issue. . . . The  
 31      functional unit manager shall respond to the inmate's grievance  
 32      appeal within [thirty] calendar days."

33      Plaintiff's grievance appeal form is date stamped as received  
 34      on April 1, 2013. (Vega Decl. Ex. 3 at 1.) Plaintiff received a  
 35      return receipt on April 10, 2013, the same day Sobotta initially  
 36      "accepted" the grievance appeal form and forwarded it on to Lemens.  
 37      (Vega Decl. [Docket No. 43] at 2; Sobotta Decl. ¶ 15, Attach. 7 at  
 38      1.)

1 issue for which the inmate has filed a tort claim notice with the  
 2 DAS. (Sobotta Decl. ¶ 17, Attach. 9 at 1.)

3 Plaintiff's tort claim notice is signed and dated March 3,  
 4 2013, and EOCl sent a response to the DAS regarding Plaintiff's  
 5 tort claim notice on April 8, 2013.<sup>5</sup> (Sobotta Decl. ¶ 16, Attach.  
 6 8 at 1-5; Vega Decl. Ex. 7 at 1.) Sobotta, however, claims that  
 7 she was not aware of Plaintiff's tort claim notice when she  
 8 initially processed Plaintiff's grievance claim (presumably due to  
 9 poor communication and/or the fact that a copy of the tort claim  
 10 notice "was not maintained in Plaintiff's grievance file," even  
 11 though it was sent to the ODOC). (Sobotta Decl. ¶ 16 & n.14.)  
 12 Sobotta provided Plaintiff with notice of the denial (or change of  
 13 status) of his grievance appeal on or about May 7, 2013, less than  
 14 thirty days after the grievance appeal form was initially  
 15 "accepted" and sent to Lemens. (Sobotta Decl. ¶ 16, Attach. 9 at  
 16 1.)

17 The next day, on May 8, 2013, Plaintiff sent an inmate  
 18 communication form to EOCl Superintendent Amsberry, stating:

19 I request that the attached grievance be allowed to be  
 20 processed. The reason stated for not allowing it to go  
 21 forward—"tort claim"—shouldn't stop the issue from  
 22 being grievable[.] I did submit a 'notice of intent to  
 23 file a tort claim,' but not a tort claim itself, yet. I  
 24 would prefer to try to resolve this issue through the  
 25 DOC's grievance procedures before I consider actually  
 26 initiating any potential litigation, please.

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26 <sup>5</sup> Plaintiff maintains in his declaration that he filed a tort  
 27 claim notice on or about April 8, 2013, even though the copy of the  
 28 tort claim notice provided by Defendants' counsel is signed and  
 dated March 3, 2013. (Vega Decl. at 2; Sobotta Decl. Attach. 8 at  
 5.)

(Vega Decl. Ex. 6 at 1.) Miles sent Plaintiff a memorandum regarding his grievance on May 9, 2013, wherein he quoted OAR 291-109-0140(3)(h) and stated that Plaintiff's "grievance was appropriately denied" in light of that rule. (Vega Decl. Ex. 7 at 1.) Plaintiff filed this § 1983 action against Defendants about a month later, on June 4, 2013.

## **II. LEGAL STANDARD**

Summary judgment is appropriate "if pleadings, the discovery and disclosure materials on file, and any affidavits show that there is no genuine issue as to any material fact and that the movant is entitled to judgment as a matter of law." FED. R. CIV. P. 56(c). Summary judgment is not proper if factual issues exist for trial. *Warren v. City of Carlsbad*, 58 F.3d 439, 441 (9th Cir. 1995).

The moving party has the burden of establishing the absence of a genuine issue of material fact. *Celotex Corp. v. Catrett*, 477 U.S. 317, 323 (1986). If the moving party shows the absence of a genuine issue of material fact, the nonmoving party must go beyond the pleadings and identify facts which show a genuine issue for trial. *Id.* at 324. A nonmoving party cannot defeat summary judgment by relying on the allegations in the complaint, or with unsupported conjecture or conclusory statements. *Hernandez v. Spacelabs Med., Inc.*, 343 F.3d 1107, 1112 (9th Cir. 2003). Thus, summary judgment should be entered against "a party who fails to make a showing sufficient to establish the existence of an element essential to that party's case, and on which that party will bear the burden of proof at trial." *Celotex*, 477 U.S. at 322.

1       The court must view the evidence in the light most favorable  
2 to the nonmoving party. *Bell v. Cameron Meadows Land Co.*, 669 F.2d  
3 1278, 1284 (9th Cir. 1982). All reasonable doubt as to the  
4 existence of a genuine issue of fact should be resolved against the  
5 moving party. *Hector v. Wiens*, 533 F.2d 429, 432 (9th Cir. 1976).  
6 Where different ultimate inferences may be drawn, summary judgment  
7 is inappropriate. *Sankovick v. Life Ins. Co. of N. Am.*, 638 F.2d  
8 136, 140 (9th Cir. 1981). However, deference to the nonmoving  
9 party has limits. The nonmoving party must set forth "specific  
10 facts showing a genuine issue for trial." FED. R. CIV. P. 56(e).  
11 The "mere existence of a scintilla of evidence in support of  
12 plaintiff's positions [is] insufficient." *Anderson v. Liberty  
Lobby, Inc.*, 477 U.S. 242, 252 (1986). Therefore, where "the  
14 record taken as a whole could not lead a rational trier of fact to  
15 find for the nonmoving party, there is no genuine issue for trial."  
16 *Matsushita Elec. Indus. Co., Ltd. v. Zenith Radio Corp.*, 475 U.S.  
17 574, 587 (1986) (internal quotation marks omitted).

### 18                   **III. DISCUSSION**

19       Defendants move for summary judgment on Plaintiff's First and  
20 Eighth Amendment claims on the ground that he has failed to exhaust  
21 his administrative remedies in accordance with the PLRA. Citing  
22 the exhibits described in the background section above, Plaintiff  
23 asserts that Defendants' motion for summary judgment should be  
24 denied because he effectively did everything in his power to  
25 exhaust his administrative remedies but was prevented from doing so  
26 by Sobotta and EOCl's established grievance procedures. (Pl.'s  
27 Opp'n Br. [Docket No. 42] at 5.)

1       The PLRA "requires that a prisoner exhaust available  
2 administrative remedies before bringing a federal action concerning  
3 prison conditions." *Griffin v. Arpaio*, 557 F.3d 1117, 1119 (9th  
4 Cir. 2009); see also *Porter v. Nussle*, 534 U.S. 516, 524 (2002)  
5 ("Even when the prisoner seeks relief not available in grievance  
6 proceedings, notably money damages, exhaustion is a prerequisite to  
7 suit."). Exhaustion must also be "proper," meaning "a grievant  
8 must use all steps the prison holds out, enabling the prison to  
9 reach the merits of the issue." *Griffin*, 557 F.3d at 1119.  
10 "Prisoners need comply only with the prison's own grievance  
11 procedures to properly exhaust under the PLRA." *Id.*

12       It should be noted at the outset that OAR 291-109-0170  
13 indicates that an inmate may appeal the staff member's initial  
14 response (Lieutenant Walker in this instance) or any subsequent  
15 response from the functional unit manager (the intermediate level  
16 of review under EOCI's grievance procedures) by "using the  
17 grievance appeal form." Plaintiff utilized the appropriate  
18 grievance appeal form when he filed his first appeal on March 24,  
19 2013. After being notified that his first grievance appeal had  
20 been denied based on the filing of a "tort claim," however,  
21 Plaintiff failed to submit a second appeal to Sobotta "using the  
22 grievance appeal form." Instead, he completed an inmate  
23 communication form addressed to EOCI Superintendent Amsberry on May  
24 8, 2013.

25       It should also be noted that OAR 291-109-0170 states that an  
26 inmate's first and second "[a]ppeal must be submitted to the  
27 grievance coordinator together with the original grievance,  
28 attachments and staff response(s)." EOCI's inmate grievance

1 instructions reference the same procedural requirement. (Sobotta  
2 Decl. Attach. 3 at 2). Sobotta is the grievance coordinator at  
3 EOCl, which is why she received the first grievance appeal form  
4 Plaintiff completed on March 24, 2013, and forwarded it to Lemens  
5 on April 10, 2013. (Sobotta Decl. ¶ 1, 14-15, Attach. 2 at 5-6;  
6 Attach. 7 at 1.) Plaintiff never submitted a second grievance  
7 appeal form to Sobotta in accordance with OAR 291-109-0170 or  
8 EOCl's inmate grievance instructions; rather, he completed an  
9 inmate communication form addressed to EOCl Superintendent Amsberry  
10 on May 8, 2013.

11 Taken together, the above observations demonstrate that  
12 Plaintiff's exhaustion was not "proper," in a sense, because he  
13 failed to comply with certain procedural rules. There is, however,  
14 an unpublished Ninth Circuit opinion that supports Plaintiff's  
15 contention that he was not required to exhaust further levels of  
16 review. In *White v. Hall*, 384 F. App'x 560 (9th Cir. 2010), the  
17 Ninth Circuit reversed a judge of this district under circumstances  
18 nearly identical those presented in this case, stating:

19 [T]he district court improperly determined that [the  
20 inmate] failed to exhaust administrative remedies for his  
21 medical care claim. Defendants issued a Returned  
22 Grievance Form rejecting [the inmate]'s grievance appeal  
23 and stating that '[y]ou can not file a grievance/appeal  
on an issue that is currently pending a TORT claim.'  
Because [the inmate] was informed that the appeals  
process was unavailable to him, he was not required to  
exhaust further levels of review.

24 *Id.* at 561; see also *Voth v. Premo*, No. 6:14-CV-00128-KI, 2014 WL  
25 3513372, at \*2 (D. Or. July 10, 2014) (rejecting inmate's argument  
26 regarding the unavailability of administrative remedies due to his  
27 filing of a tort claims notice based in part on the lack of  
28

1 "binding, published precedent in the Ninth Circuit supporting the  
 2 proposition" (citing *White*, 384 F. App'x at 561 (unpublished)).<sup>6</sup>

3 Defendants first attempt to distinguish *White* on the ground  
 4 that "Plaintiff's grievance was rejected based on a rule not in  
 5 existence at the time of the exhaustion issue presented in [that  
 6 case]." (Defs.' Mem. Supp. at 5.) Even taking that at face value,  
 7 it would be difficult to decipher any meaningful difference  
 8 between: (1) rejecting an inmate's grievance appeal and stating  
 9 that "[y]ou can not file a grievance/appeal on an issue that is  
 10 currently pending a TORT claim" (*White*); and (2) denying an  
 11 inmate's grievance appeal, listing the reason for denial as "[t]ort  
 12 claim," and stating that the appeal was "[d]enied per rule, as you  
 13 have filed a TORT claim relating to the same issue" (the present  
 14 case).

15 That is especially true given that the notice of denial that  
 16 was sent to Plaintiff by Sobotta on or about May 7, 2013, did not  
 17

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18         <sup>6</sup> Similarly, in *Ippolito v. DeCamp*, No. 3:11-CV-676-PK, 2013  
 19 WL 1636078 (D. Or. Mar. 25, 2013), a judge of this district  
 20 rejected an inmate's argument that further administrative remedies  
 21 were foreclosed by the filing of his tort claim, noting among other  
 22 things that the court was "aware of no statutory or case-law  
 23 support for the proposition that avoiding grievance procedures by  
 24 filing a notice of tort claim can under any circumstances  
 25 constitute exhaustion of available administrative remedies." *Id.*  
 26 at \*5, adopted by 2013 WL 1628902, at \*1 (D. Or. Apr. 16, 2013)  
 27 (Mosman, J.,).  
 28

Several district judges in the Southern District of Indiana have also observed that the filing a tort claim notice "does not substitute for the requirement to exhaust available administrative remedies before bringing a civil rights claim in federal court." *Jennings v. Lemmon*, No. 1:12-cv-01387-SEB-TAB, 2013 WL 5514615, at \*2 (S.D. Ind. Oct. 4, 2013); *Pettiford v. Hamilton*, No. 1:07-cv-675-DFH-TAB, 2008 WL 4083171, at \*3 (S.D. Ind. Sept. 3, 2008) (same); *Jenkins v. Knight*, No. 1:13-cv-0099-TWP-MJD, 2013 WL 6229361, at \*4 n.1 (S.D. Ind. Dec. 2, 2013) (same).

1 include a box with a checkmark next to OAR 291-109-0140(3)(h)—that  
 2 is, the “rule not in existence at the time of exhaustion issue  
 3 presented in *White*”—which precludes an inmate from grieving claims  
 4 or issues that are the subject of a tort claim notice.<sup>7</sup> (See Vega  
 5 Decl. Ex. 5 at 1.) While Mills provided Plaintiff with a more  
 6 definite explanation shortly thereafter, albeit outside the context  
 7 of EOBI’s formal grievance procedures, it still does not change the  
 8 fact that Plaintiff was “informed that the [grievance] appeals  
 9 process was unavailable to him,” at least under the *White*  
 10 rationale.

11 More persuasive is Defendants’ argument that the Ninth  
 12 Circuit’s unpublished decision in *White* decision is contrary to the  
 13 Supreme Court’s decision in *Woodford v. Ngo*, 548 U.S. 81 (2006).  
 14 There, the Supreme Court rejected an inmate’s argument that the  
 15 prison officials’ rejection of his grievance as untimely meant that  
 16 he had exhausted his administrative remedies, stating, among other  
 17 things:

18 Because exhaustion requirements are designed to deal  
 19 with parties who do not want to exhaust, administrative  
 20 law creates an incentive for these parties to do what  
 21 they would otherwise prefer not to do, namely, to give  
 22 the agency a fair and full opportunity to adjudicate  
 23 their claims. Administrative law does this by requiring  
 24 proper exhaustion of administrative remedies, which means  
 25 using all steps that the agency holds out, and doing so  
 properly (so that the agency addresses the issues on the  
 merits). This Court has described the doctrine as  
 follows: ‘As a general rule courts should not topple over  
 administrative decisions unless the administrative body  
 not only has erred, but has erred against objection made  
 at the time appropriate under its practice.’ *Proper*  
*exhaustion demands compliance with an agency’s deadlines*

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27       <sup>7</sup> The copy of the notice of denial maintained by EOBI and  
 28 provided to the Court by Defendants includes such a checkmark,  
 however. (Sobotta Decl. Attach. 9 at 1.)

1 and other critical procedural rules because no  
2 adjudicative system can function effectively without  
3 imposing some orderly structure on the course of its  
proceedings.

4 *Id.* at 90-91 (internal citation, quotation marks, brackets, and  
5 ellipses omitted) (emphasis added).

6 In addition to the procedural noncompliance described above,  
7 Plaintiff also failed to comply with EOCI's rule prohibiting an  
8 inmate from grieving claims or issues that are the subject of a  
9 tort claim notice. Contrary to the holding in *White*, that alone  
10 suggests that Plaintiff failed to properly exhaust his  
11 administrative remedies. See *Jones v. Bock*, 549 U.S. 199, 218  
12 (2007) (Roberts, C.J.,) ("In *Woodford*, we held that to properly  
13 exhaust administrative remedies prisoners must 'complete the  
14 administrative review process in accordance with the applicable  
15 procedural rules,' rules that are defined not by the PLRA, but by  
16 the prison grievance process itself. . . . [T]he grievance  
17 procedures will vary from system to system and claim to claim, but  
18 it is the prison's requirements, and not the PLRA, that define the  
19 boundaries of proper exhaustion.") (internal citation omitted)  
(emphasis added).

21 From this Court's perspective, the holding in *White* seems to  
22 be at odds with the fact that, under *Woodford*, "a prisoner does not  
23 exhaust all available remedies simply by failing to follow the  
24 required steps so that remedies that once were available to him no  
25 longer are." *Moore v. Bennette*, 517 F.3d 717, 725 (4th Cir. 2008).  
26 The holding in *White* also seems to be at odds with the two main  
27 purposes behind the doctrine of exhaustion of administration  
28 remedies: the first being the protection of administrative agency

1 authority by discouraging the disregard of the agency's procedures  
2 and providing the agency with an opportunity to correct its own  
3 mistake before being haled into federal court; and the second being  
4 the promotion of efficiency by having claims resolved more  
5 expeditiously and efficiently at the administrative level, where  
6 parties at times are convinced not to pursue the matter in federal  
7 court and a useful record can be produced for subsequent judicial  
8 consideration. See *Woodford*, 548 U.S. at 89 (describing the two  
9 main purposes)

10 Moreover, the *White* court grounded its holding on the  
11 assumption that the Supreme Court would countenance the application  
12 of exceptions to the PLRA's exhaustion requirement. See *White*, 384  
13 F. App'x at 561 (concluding that the inmate had been informed by  
14 prison officials that the grievance appeal process was "unavailable  
15 to him"). While the Ninth Circuit and some sister circuits have  
16 allowed exceptions to the PLRA's exhaustion requirement, *Nunez v.*  
17 *Duncan*, 591 F.3d 1217, 1224 (9th Cir. 2010), the Supreme Court has  
18 not adopted the analysis that the PLRA exhaustion requirement  
19 incorporated exceptions to exhaustion contained in administrative  
20 law, *id.* at 1231 n.2 (Ikuta, J., dissenting); *Ngo v. Woodford*, 539  
21 F.3d 1108, 1110 (9th Cir. 2008) (Kozinski, C.J.) ("It is unclear  
22 whether we can read exceptions into the PLRA's exhaustion  
23 requirement."). In fact, "a unanimous Supreme Court previously  
24 rejected this very idea, stating that 'we will not read futility or  
25 other exceptions into [the PLRA's] statutory exhaustion  
requirements where Congress has provided otherwise.'" *Nunez*, 591

1 F.3d at 1231 n.2 (Ikuta, J., dissenting) (quoting *Booth v. Churner*,  
 2 532 U.S. 731, 741 n.6 (2001)).<sup>8</sup>

3 Setting all of that aside, the Court would still conclude that  
 4 Plaintiff failed to exhaust his administrative remedies under  
 5 controlling Ninth Circuit precedent. In *Marella v. Terhune*, 568  
 6 F.3d 1024 (9th Cir. 2009) (per curiam), the Ninth Circuit concluded  
 7 that the district court erred in dismissing the inmate's "complaint  
 8 for failure to exhaust his administrative remedies beyond the  
 9 second level of the prison appeals system because [he] had been  
 10 informed that the appeals process was unavailable to him." *Id.* at  
 11 1027 (citing *Brown v. Valoff*, 422 F.3d 926, 935 (9th Cir. 2005)  
 12 (stating that the PLRA "does not require exhaustion when no  
 13 pertinent relief can be obtained through the internal process")).  
 14 In fact, the inmate's grievance appeal response in *Marella*  
 15 specifically indicated that the inmate "was not permitted to appeal  
 16 the decision." *Id.*

17 Similarly, in *Harvey v. Jordan*, 605 F.3d 681 (9th Cir. 2010),  
 18 prison officials granted a portion of the relief an inmate had  
 19 requested by way of a grievance, "and informed him that he could  
 20 appeal within fifteen working days if he was 'not satisfied' with  
 21 that resolution." *Id.* at 685. After five months has passed  
 22 without prison officials providing the agreed-upon relief, the

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23  
 24 <sup>8</sup> See also *Thomas v. Joslin*, 524 F. App'x 107, 111 (5th Cir.  
 25 2013) ("The extent to which exceptions to the PLRA's exhaustion  
 26 requirement survive [the Supreme Court's decision in] *Woodford* is  
 27 unclear; *Woodford* explicitly declined to address the extent to  
 28 which the failure to properly exhaust could be excused."); *Gonzalez  
 v. Seal*, 702 F.3d 785, 788 (5th Cir. 2012) (per curiam) ("District  
 courts have no discretion to excuse a prisoner's failure to  
 properly exhaust the prison grievance process before filing their  
 complaint.").

1 inmate utilized an appeal form to air his complaints. *Id.* Prison  
 2 officials construed the form as an untimely appeal of their prior  
 3 decision and informed the inmate that the decision could "not be  
 4 appealed." *Id.* On review, the Ninth Circuit rejected the  
 5 defendants' argument that the inmate should have followed up with  
 6 a second appeal in order to properly exhaust his administrative  
 7 remedies because "[t]here is no obligation to appeal from a  
 8 decision when the rejection form states that the 'action may not be  
 9 appealed.'" *Id.* (citing *Marella*, 568 F.3d at 1027).

10 In both *Marella* and *Harvey*, unlike in the present case, the  
 11 grievance appeal responses specifically stated that the decision or  
 12 "screening" was not appealable. The response that was sent to  
 13 Plaintiff by Sobotta did not include such language. (Vega Decl.  
 14 Ex. 5 at 1; Sobotta Decl. Attach. 9 at 1.) And Plaintiff's  
 15 subsequent actions indicate that he believed the decision was in  
 16 fact appealable, as he sent an Inmate Communication Form requesting  
 17 "that the attached grievance be allowed to be processed,"  
 18 challenging whether a "tort claim" should have "stop[ped] the issue  
 19 from being grievable," and noting that he had actually filed a tort  
 20 claim notice. (Vega Decl. Ex. 6 at 1.) As was the case with the  
 21 filing of a tort claim notice, however, the submission of an Inmate  
 22 Communication Form was not in conformance with EOCI's established  
 23 grievance procedures.

24 In short, Defendants' motion for summary judgment should be  
 25 granted because Plaintiff failed to properly exhaust his  
 26 administrative remedies in multiple respects. See *Randall v.*  
 27 *DiGiulio*, No. 3:12-cv-02277-HU, 2013 WL 6498759, at \*3 (D. Or. Dec.  
 28 9, 2013) ("While plaintiff was unable to appeal his grievances

1 because they were returned because he filed a tort claim, this does  
2 not excuse his failure to exhaust administrative remedies."); see  
3 also *Marella*, 568 F.3d at 1028 ("If a prisoner had full opportunity  
4 and ability to file a grievance [in accordance with the prison's  
5 procedures], but failed to do so, he has not properly exhausted his  
6 administrative remedies."); *Sapp v. Kimbrell*, 623 F.3d 813, 824  
7 (9th Cir. 2010) (effective unavailability requires an inmate to  
8 establish "that prison officials screened his grievance or  
9 grievances for reasons inconsistent with or unsupported by  
10 applicable regulations.").

11 The Court recommends dismissing Plaintiff's complaint with  
12 prejudice. See *Loos v. Or. Dep't of Corr.*, No. 3:11-cv-00208-BR,  
13 2012 WL 385385, at \*5 (D. Or. Feb. 6, 2012) ("[I]t is apparent that  
14 Plaintiff is now time-barred from exhausting his administrative  
15 remedies, and, therefore, it does not 'make sense' to dismiss this  
16 matter without prejudice.").

#### 17 IV. CONCLUSION

18 For the reasons stated, the Court recommends granting  
19 Defendants' now-Rule 56 motion (Docket No. 25) for summary judgment  
20 and dismissing Plaintiff's complaint with prejudice.

#### 21 V. SCHEDULING ORDER

22 The Findings and Recommendation will be referred to a district  
23 judge. Objections, if any, are due **December 22, 2014**. If no  
24 objections are filed, then the Findings and Recommendation will go  
25 under advisement on that date. If objections are filed, then a  
26 response is due **January 8, 2015**. When the response is due or  
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1 filed, whichever date is earlier, the Findings and Recommendation  
2 will go under advisement.

3 Dated this 3rd day of December, 2014.

4 /s/ Dennis J. Hubel

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5 DENNIS J. HUBEL  
6 United States Magistrate Judge

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